

# **Disclosure Statement**

40 Wharf Street PO Box 287 Tauranga, 3140 New Zealand

Tel +64 (0)7 578 6072 Fax +64 (0)7 578 6071 Mob +64 (0)21 578 607 eamon@oconnorwarren.co.nz www.oconnorwarren.co.nz

Name of Financial Adviser Eamon O'Connor

Role Director

Trading Name O'Connor Warren Insurance Brokers

Registration Number FSP263845

This disclosure statement was prepared on the 8 January 2013.

## It is important that you read this document

This information will help you to choose a financial adviser that best suits your needs. It will also provide some useful information about the financial adviser that you choose.

#### What sort of adviser am I?

I am a registered, but not authorised, financial adviser.

I can give you advice about a wide range of Insurance products, other than investment linked insurance contracts as defined in the Financial Advisers Act 2008.

## What should you do if something goes wrong?

If you have a problem, concern or complaint about any part of my service, please tell me so that we can try to fix the problem.

If not resolved and I trust this will not happen, you may contact Financial Services Complaints Ltd. (FSCL) at <a href="mailto:info@fscl.org.nz">info@fscl.org.nz</a> or 0800 347 257. This service will cost you nothing and will assist us to resolve any disagreements.

### How am I regulated by the government?

You can check that I am a registered financial adviser at www.fspr.govt.nz.

The Financial Markets Authority regulates financial advisers. Contact the Financial Markets Authority for more information, including financial tips and warnings.

You can report information or make complaints about my conduct to the Financial Markets Authority, but in the event of a disagreement, you may choose to first use the dispute resolution procedures described above (under 'What should I do if something goes wrong?').

#### **Declaration**

I, Eamon Gerard O'Connor, declare that, to the best of my knowledge and belief, the information contained in this disclosure statement is true and complete and complies with the disclosure requirements in the Financial Advisers Act 2008, and the Financial Advisers (Disclosure) Regulations 2010.

Signed: