

## Disclosure Statement Financial Advisor

Name of financial adviser: Eamon Gerard O'Connor

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Trading names: O'Connor Warren Insurance Brokers Limited  
O'Connor Warren Life and Health Limited  
O'Connor Warren Finance Limited

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This disclosure statement was prepared on: 28<sup>th</sup> August 2018.

### It is important that you read this document

This information will help you to choose a financial adviser that best suits your needs. It will also provide some useful information about the financial adviser that you choose.

### What sort of adviser am I?

I am a registered, but not authorised, financial adviser. I can give you advice about:

<b><i>Risk Products</i></b>	<b><i>Finance Products</i></b>
<ul style="list-style-type: none"> <li>- <i>Fire &amp; General Insurances</i></li> <li>- <i>Life Cover</i></li> <li>- <i>Accidental Death Cover</i></li> <li>- <i>Disability income protection</i></li> <li>- <i>Trauma</i></li> <li>- <i>Living insurance</i></li> <li>- <i>Business overheads cover</i></li> <li>- <i>Mortgage protection insurance</i></li> <li>- <i>Key person protection insurance</i></li> <li>- <i>Medical insurance</i></li> </ul>	<ul style="list-style-type: none"> <li>- <i>Mortgage Finance</i></li> <li>- <i>Asset Finance</i></li> <li>- <i>Financial Planning &amp; Advice</i></li> </ul>

### What should you do if something goes wrong?

If you have a problem, concern, or complaint about any part of my service, please tell me so that I can try to fix the problem.

You may contact the internal complaints scheme by emailing [anna@oconnorwarren.co.nz](mailto:anna@oconnorwarren.co.nz) or telephone Anna O'Connor on 07 578 6072.

If we cannot agree on how to fix the issue, or if you decide not to use the internal complaints scheme, you can contact Financial Services Complaints Ltd.

This service will cost you nothing, and will help us resolve any disagreements. You can contact the Financial Services Complaints Ltd at:

Address: PO Box 5967, Wellington 6145

Telephone number: 0800 347 257

Email address: [info@fscl.org.nz](mailto:info@fscl.org.nz)

### **How am I regulated by the Government?**

You can check that I am a registered financial adviser at <http://www.fspr.govt.nz>

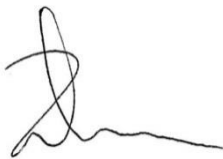
The Financial Markets Authority regulates financial advisers. Contact the Financial Markets Authority for more information, including financial tips and warnings.

You can report information or complain about my conduct to the Financial Markets Authority, but in the event of a disagreement, you may choose to first use the dispute resolution procedures described above (under **What should you do if something goes wrong?**)

### **Declaration**

I, Eamon Gerard O'Connor, declare that, to the best of my knowledge and belief, the information contained in this disclosure statement is true and complete and complies with the disclosure requirements in the Financial Advisers Act 2008 and the Financial Advisers (Disclosure) Regulations 2010.

Signed:



Date: 28<sup>th</sup> August 2018